

IN THE UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

Form 210A

United States Bankruptcy Court

SOUTHERN DISTRICT OF NEW YORK

In re LEHMAN BROTHERS HOLDINGS INC., et. al., DEBTORS

Case No. 08-13555 (JMP)  
JOINTLY ADMINISTERED

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the  
transfer, other than for security, of the claim referenced in this evidence and notice.

Cassa Lombarda S.p.a.  
Name of Transferee

Allianz Bank Financial Advisors SpA  
Name of Transferor

Name and Address where notices to transferee  
should be sent:  
Cassa Lombarda S.p.a.  
Via Manzoni, 12  
20121 Milano

Court Claim: 48753  
Total Amount of Claim: \$ 940,291.62  
Date Claim Filed: 27.10.2009  
Allowed Claim \$ 763,969.04  
Partial Claim Transferred: \$ 18,989,67

Attn: Stefano Barcella  
E-mail: settlement@cassalombarda.it  
Phone: 0039 02779  
Last Four Digits of Acct #: 9364

Piazzale Lodi, 3  
Milano Italy 20137


Attn: Franco Bricchetti  
Francesca Trebbi  
Phone: +39 02 72168604 - 8922  
Last Four Digits of Acct. #: N/A

Name and Address where transferee payments  
should be sent (if different from above):

Attn:  
Phone:

:  
Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my  
knowledge and belief.

By:   
Transferee/Transferee's Agent  
Name: STEFANO BARCELLA

Date: 11 day of FEBRUARY, 2014

Title: COO

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both 18 U.S.C.  
§1152 & 3571.

**EVIDENCE OF TRANSFER OF CLAIM**

**TRANSFER AGREEMENT**